PTC/S8/08s (06-03.)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patient and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to resp and to a collection of information unless it contains a valid OMB control number. Application Number

Filing Date

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT					First Named Inventor Union Carill Nesell								
(Not for submission under 37 CFR 1.99)					Art Unit			Т					
( NOT 101 SUBMISSION UNDER 37 CFR 1.99)					Examiner Name			_					_
					Attorney Docket Numb			4	1253				
						PATENTS					Remove		
	_		_	_	U.S.	PAIENIS				_	Herriove		_
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	ssue Date Name of Patentee or Applicant				Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1	5937080		1999-08	1999-08-10 Vogeley, Jr. et al.								
If you wisl	h to a	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click	the A	Add	button.	_	Add		
			U.S.P	ATENT	APPLI	CATION P	UBLI	ICA'	TIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>		Publication Name of Patentee or Applicant of cited Document				Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1												
If you wisl	h to a	dd additional U.S. Publi	shed Ap	plication	citatio	n informati	on ple	ease	e click the Ad	d butto	n. Add		
				FOREIG	SN PAT	ENT DOC	UME	NT	s		Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>					where Rel	or Relevant	74				
	1	DE9412378	DE		U1	1994-09-2	29		ma Alpeniand achinebau Hair	8 Co	Cited in ISI	₹	
	2	DE19612029	DE		C1	1997-07-1			nz Nienstedt achinenfabrik G	SmbH	Cited in ISI	٦.	
	3	EP1044770	EP		A	2000-10-1		Her	mann Wein Gr	mbh &	Cited in ISI	٦	

Co KG

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

DE4334107

DE

Application Number		
Filing Date		
First Named Inventor Ulrich		Carlin Nielsen
Art Unit		
Examiner Name		
Attorney Docket Number		41263

Heinz Nienstedt

Date Considered

Maschinenfabrik GmbH Cited in ISR

	5	WO94/26479	wo	A	1994-11-24	Carruthers Equipment Co.	Cited in ISR			
	6	DK9600164	DK	UЗ	1997-09-12	Norfo A/S	Cited in ISR			
If you wis	If you wish to add additional Foreign Patent Document citation information please click the Add button Add									
			NON-PATE	NT LITE	RATURE DO	CUMENTS	Remove			
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (hote), magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city andor country where published.								Ţ5		
1 International Search Report dated June 1, 2005										
If you wis	If you wish to add additional non-patent literature document citation information please click the Add button Add									
EXAMINER SIGNATURE										

1995-06-01

C1

1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. 5 Applicant is to place a check mark here if English language translation is attached.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature

#### Application Number Filing Date INFORMATION DISCLOSURE Ulrich Carlin Nielsen First Named Inventor STATEMENT BY APPLICANT Art I Init ( Not for submission under 37 CFR 1.99) Examiner Name 41253 Attorney Docket Number

### CERTIFICATION STATEMENT

Please see	37	CFR	1 97	and f	1 98	to make	the	appropriate	selection(s):	

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

## OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature

Registration Number

	Signature	/bradcspencer/	Date (YYYY-MM-DD)	2006-10-03					
	Name/Print	Bred C Spancer	Registration Number	57076					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. P.O. Box 1450. Alexandria. VA 22313-1450

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patient application or patient. Accordingly, pursuant to the requirements of the Act, please be advised that. (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) famishing of the information solicide is voluntary, and (3) the principal purpose for which the information is used by the U.S. Patient and Trademan Kolfice is to process another examine your submission relation to a patient application or patient. If you do not furnish the requested process another examine your submission relation to the patient application or patient. If you do not furnish the requested the process another examines your submission, which may visually the principal purpose and for examines your submission, which may visually intermediate or of extended now about the principal purpose.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
  - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neodiations.
  - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record partains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
  - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974. as amended, oursuint to 5 U.S.C. 552a(m).
  - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
    may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
    to the Patent Cooperation Treaty.
  - A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or hisher designe, cuting an inspection of records concluded by GSAs and of that agency's responsibility to recommend improvements in records management practices and programs, under suthority of 4d U.S.C. 2004 and 2006. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S. C. 122(b) or issuance of a patent pursuant to 35 U.S. C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public of the record via yet of sciences, subject to the limitations of 37 CFR 1.14, as a routine use, to the public of the record via self or in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.